

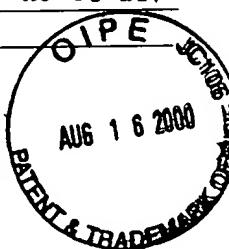
Matter No. 7024-109/PUR-48

Initials: JJS:mkr 4/ /00

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Patent  Trademark  
 Copyright

Serial No. 09/180,340  
Applicant: Nancy W.Y. Ho et al.



Receipt of the attached is acknowledged.

Application  
2  Check for \$110.00 and \$240.00  
 \_\_\_\_\_ sheets of drawing(s)  
 Small Entity Declaration  
 Declaration & Power of Atty.  
 Assignment  
 Amendment (10 pages) and support  
 X) Req. for Extension of Time - 1 month  
 Specimens  
 X) supplemental IDS  
 X) Information Disclosure Statement  
 X) copy of EP citation  
 X) Fee transmittal  
 X) transmittal form

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EXHIBIT

B

# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in this Submission

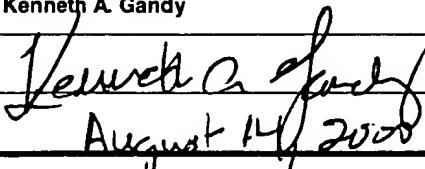
Application Number	09/180,340
Filing Date	August 20, 1999
First Named Inventor	Nancy W.Y. Ho
Group Art Unit	1653
Examiner Name	H. Robinson

Attorney Docket Number 7024-109

## ENCLOSURES (check all that apply)

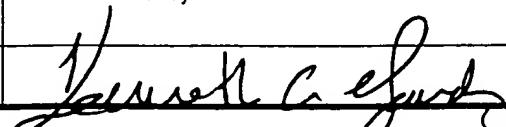
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input checked="" type="checkbox"/> Amendment Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> To Convert a Provisional Application	<input type="checkbox"/> Status Letter
<input checked="" type="checkbox"/> Extension of Time Request - 1 month	<input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address	<input checked="" type="checkbox"/> Additional Enclosure (please identify below)
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	<input checked="" type="checkbox"/> X Return postcard
<input checked="" type="checkbox"/> Supplemental Information Disclosure Statement with PTO form SB08A	<input type="checkbox"/> Small Entity Statement	<input checked="" type="checkbox"/> X Copy of European reference for Supplemental IDS EP 0 450 430 B1
<input type="checkbox"/> Certified Copy of Priority Documents	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CRF 1.52 or 1.53		

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual Name	WOODARD EMHARDT NAUGHTON MORIARTY & MCNETT Kenneth A. Gandy
Signature	
Date	August 14, 2000

## Certificate of Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington D.C. 20231 on this date: August 9, 2000

Typed or printed name	Kenneth A. Gandy		
Signature		Date	August 14, 2000

# FEE TRANSMITTAL FOR FY 1999

Patent fees are subject to annual revision.  
Small Entity payments **must** be supported by a small entity  
statement, otherwise large entity fees must be paid.  
See Forms PTO/SB/09-12.

		Complete if Known			
		Application Number		09/180,000	
		Filing Date		August 20, 1999	
		First Named Inventor		Nancy W.Y. Ho	
		Group Art Unit		1653	
		Examiner Name		H. Robinson	
Total Amount of Payment	\$350.00	Attorney Docket Number	7024-109		

Method of Payment (check one)		Fee Calculation (continued)				
<input checked="" type="checkbox"/> X	The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:	3. ADDITIONAL FEES				
Deposit Account Number	23-3030	Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
Deposit Account Name	Woodard, Emhardt, Naughton, Moriarty & McNett	105	130	205	65	Surcharge - late filing fee or oath
<input checked="" type="checkbox"/> X	Charge any Additional Fee Required Under 37 CFR 1.16 and 1.17	127	50	227	25	Surcharge - late provisional filing fee or cover sheet.
2. <input checked="" type="checkbox"/> X	Payment Enclosed:	139	130	139	130	Non-English specification
Fee Calculation						

## 1. BASIC FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	690	201	345	Utility Filing Fee	
106	310	206	155	Design Filing Fee	
107	480	207	240	Plant Filing Fee	
108	690	208	345	Reissue Filing Fee	
114	150	214	75	Provisional Filing Fee	
SUBTOTAL (1)					(\$) --

## 2. EXTRA CLAIM FEES

Total Claims	-20**	Extra Claims	Fee From Below	Fee Paid
Independent Claims	-3**	=	x	=
Multiple Dependent		=	x	=
** or number previously paid, if greater; for reissues, see below				

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	
103	18	203	9	Claims in excess of 20	
102	78	202	39	Independent claims in excess of 3	
104	260	204	130	Multiple dependent claim, if not paid	
109	78	209	39	**Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)					(\$) --

**SUBMITTED BY** *Kenneth A. Gandy* **Complete (if applicable)** *33,386*

Typed or Printed Name	Kenneth A. Gandy	Reg. Number	33,386
Signature	<i>Kenneth A. Gandy</i>	Date	August 14, 2000

\*Reduced by Basic Filing Fee Paid

SUBTOTAL(3)

350.00

Deposit Account User ID 23-3030

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent	)	Before the Examiner
application of:	)	
	)	H. Robinson
Nancy W.Y. Ho et al.	)	
	)	Group Art Unit 1653
Serial No. 09/180,340	)	
	)	
Filed August 20, 1999	)	
	)	
STABLE RECOMBINANT YEASTS FOR	)	August 14, 2000
FERMENTING XYLOSE TO ETHANOL	)	

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REQUEST FOR EXTENSION OF TIME

JAN 2 3 2002

Assistant Commissioner for Patents  
Washington, D.C. 20231

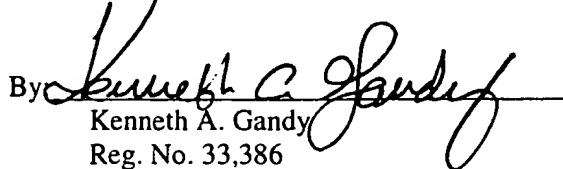
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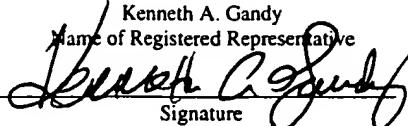
Sir:

Applicant's attorney requests that the date for responding to the Office Action dated April 12, 2000, be extended one month to and including August 14, 2000.

The statutory fee of \$110.00 for this request is enclosed herewith. The Patent and Trademark Office is authorized to charge any deficiency or apply any overpayment credit to Deposit Account No. 23-3030.

Respectfully submitted

By   
 Kenneth A. Gandy  
 Reg. No. 33,386  
 Woodard, Emhardt, Naughton,  
 Moriarty & McNett  
 Bank One Center/Tower  
 111 Monument Circle, Suite 3700  
 Indianapolis, Indiana 46204-5137  
 (317) 634-3456

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 on <u>August 14, 2000</u> .	
Kenneth A. Gandy Name of Registered Representative	
 Signature	
<u>August 14, 2000</u> Date of Signature	

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent ) Before the Examiner  
application of: )  
Nancy W.Y. Ho et al. ) H. Robinson  
Serial No. 09/180,340 )  
Filed August 20, 1999 ) Group Art Unit 1653  
STABLE RECOMBINANT YEASTS FOR )  
FERMENTING XYLOSE TO ETHANOL ) August 14, 2000

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

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Assistant Commissioner for Patents  
Washington, DC 20231

JAN 23 2002

OFFICE OF PETITIONS

Sir:

Pursuant to the duty of disclosure embodied in 37 C.F.R. § 1.56, Applicants wish to bring to the attention of the Examiner the item of information listed on the enclosed PTO Form PTO/SB08A and/or PTO/SB08B. This information has not been previously submitted in this application, and has not been heretofore cited by the Examiner. A copy of the cited item is enclosed in accordance with 37 C.F.R. § 1.98.

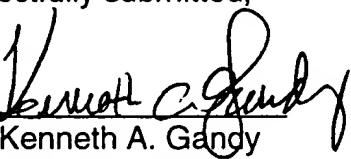
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 on <u>August 14, 2000</u> .	
Kenneth A. Gandy Name of Registered Representative	
<u>Kenneth A. Gandy</u> Signature	
<u>August 14, 2000</u> Date of Signature	

The identified information, taken alone or in combination with other art, provides information relating to the subject matter of the invention, but fails to teach, disclose or suggest the present invention. The filing of this Information Disclosure Statement shall not be construed as an admission that the information cited is, or is considered to be, material to patentability as defined in § 1.56(b).

This Statement is being submitted more than three months after the filing date of the application and after the mailing of the first Office Action on the merits, but before the mailing date of a final action under § 1.113 or a notice of allowance under § 1.311. Enclosed is a check in the amount of \$240.00 to cover the fee in connection with this filing. To the extent any additional fees are required, please charge such fees to Deposit Account No. 23-3030.

Respectfully submitted,

By:

  
Kenneth A. Gandy  
Reg. No. 33,386  
Woodard, Emhardt, Naughton,  
Moriarty & McNett  
Bank One Center/Tower  
111 Monument Circle, Suite 3700  
Indianapolis, Indiana 46204-5137  
(317) 634-3456

**INFORMATION  
DISCLOSURE CITATION**

Page 1 of 1  
(Use several sheets if necessary)

Atty. Docket No.  
7024-109/PUR-48

Serial No.

**Applicant**

Filing Date

Group  
1653

## **U.S. PATENT DOCUMENTS**

## FOREIGN PATENT DOCUMENTS

Examiner	Date Considered
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**\*Examiner:** initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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JAN 23 2002

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